**INVESTIGATOR COMPLIANCE SEARCH (ICSF)**

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| **ICON Project Number:** |  | **Sponsor Protocol No.:** |  |
| **Institute Name:** |  | **Address:** |  |

**Investigators:**

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| --- | --- | --- | --- |
| **ROLE** | **INVESTIGATOR NAME**  **(As per 1572/Info received)** | **Medical License Number** | **INVESTIGATOR NAME**  **(All Combination Searched)** |
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| Sub I |  |  |  |

**Relevant sources of Investigator information, against which this Investigator has been checked.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **SOURCE #** | **SOURCE NAME** | **SOURCE DATE** | **WEBLINK** | **ISSUES IDENTIFIED** |
| 1 | FDA Debarment List |  | http://www.fda.gov/ora/compliance\_ref/debar/default.htm | No |
| 2 | Clinical Investigator Inspection List (CLIL)(CDER) |  | http://www.accessdata.fda.gov/scripts/cder/cliil/index.cfm | No |
| 3 | FDA Warning Letters and Responses |  | http://www.fda.gov/ICECI/EnforcementActions/WarningLetters/default.htm | No |
| 4 | Notice of Opportunity for Hearing (NOOH) – Proposal to Debar |  | http://www.fda.gov/RegulatoryInformation/FOI/ElectronicReadingRoom/ucm143240.htm | No |
| 5 | Clinical Investigators – Disqualification Proceedings (FDA Disqualified/Restricted) |  | http://www.accessdata.fda.gov/scripts/SDA/sdNavigation.cfm?sd=clinicalinvestigatorsdisqualificationproceedings&previewMode=true&displayAll=true | No |
| 6 | PHS Administrative Actions Listing |  | https://ori.hhs.gov/ORI\_PHS\_alert.html?d=update | No |
| 7 | Clinical Investigator Inspection List (CBER) |  | http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/ComplianceActivities/ucm195364.htm | No |
| 8 | HHS/OIG/ Exclusions Database Search/Fraud |  | https://oig.hhs.gov/exclusions/exclusions\_list.asp | No |
| 9 | HHS/OIG Corporate Integrity Agreements/ Watch List |  | http://oig.hhs.gov/compliance/corporate-integrity-agreements/cia-documents.asp | No |
| 10 | System for Award Management (SAM) |  | https://www.sam.gov/SAM/pages/public/searchRecords/search.jsf | No |
| 11 | List of Specially Designated Nationals (SDN) |  | http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx | No |
| 12 | World Check (PI) |  | https://uk1.sentinel.truthtechnologies.com/mlds?page=index\_login.html | No |
| 13 | World Check (Institute) |  | https://uk1.sentinel.truthtechnologies.com/mlds?page=index\_login.html | No |
| 14 | ICON Internal Flag Check (ICON Investigator Database - ISprint) |  | https://www.salesforce.com/ | No |

**Please list all Sponsor/Country Specific checks, against which the review has been completed for the Investigator(s).**

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| **SOURCE #** | **SOURCE NAME** | **SOURCE DATE** | **WEBLINK** | **ISSUES IDENTIFIED** |
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**If issues are identified (Yes), please provide additional details. Details may be printed and attached.**

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| --- | --- | --- | --- |
| **SOURCE #** | **INVESTIGATOR/ INSTITUTE NAME** | **DATE OF INSPECTION** | **DESCRIPTION OF FINDINGS** |
|  |  |  |  |

**Search Performed By:**

|  |  |
| --- | --- |
| **Printed Name:** | **Date:** |
| **Signature:** | |